| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addro<br>Alpern Paul |                   |  | suer Name <b>and</b> Tic<br>teris, Inc. [ AI                      |   | rading                  | ı Symbol |             | ationship of Reportin<br>k all applicable)<br>Director | 10% 0   | Dwner   |   |  |  |
|----------------------------------|-------------------|--|---|---|-------------------------|----------|-------------|--|---|---|---|--|--|
| (Last)<br>C/O ARTERIS            | (First)<br>, INC. | (Middle)                                   |   | ate of Earliest Trans<br>01/2024                                  | saction                 | (Mont    | n/Day/Year) |  | Officer (give title Othe below) VP and General Counse                     |   |   |  |  |
| 900 E. HAMIL                     | TON AVE., SU      | 4. If                                      | 4. If Amendment, Date of Original Filed (Month/Day/Year)          |   |                         |          |             |  | 6. Individual or Joint/Group Filing (Check Applicable Line)               |   |   |  |  |
| (Street)<br>CAMPBELL             | СА                | 95008                                      |   |   |                         |          |             |  |   | Form filed by One<br>Form filed by Mor<br>Person                  |   |  |  |
| (City)                           | (State)           | (Zip)                                      |   | Ile 10b5-1(c)<br>Check this box to ind<br>satisfy the affirmative |                         |          |             |  | t, instruction or written<br>0.   | plan that is intend   | ed to   |  |  |
|                                  |                   | Table I - N                                | on-Derivative   | Securities Ac   | quire                   | d, Di    | sposed of   | , or Be  | eneficially   | Owned   |   |  |  |
| Date                             |                   | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed 3.<br>Execution Date,<br>if any<br>(Month/Day/Year) 8) |   |                         |          |             |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|                                  |                   |  |   |   | Code                    | v        | Amount      | (A) or<br>(D)  | Price   | Transaction(s)<br>(Instr. 3 and 4)                                |   |  |  |
| Common Stock                     |                   |  | 07/01/2024  |   | <b>M</b> <sup>(1)</sup> |          | 10.000      | A  | \$0.56  | 90.360  | D   |  |  |

|              | (Month/Day/Year) | if any<br>(Month/Day/Year) | Code (<br>8)            | Instr. |        |               |                                 | Beneficially<br>Owned Following                | (D) or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|--------------|------------------|----------------------------|-------------------------|--------|--------|---------------|---------------------------------|--|-----------------------------------|---------------------------------------|
|              |                  |                            | Code                    | v      | Amount | (A) or<br>(D) | Price                           | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                                   | (1150.4)                              |
| Common Stock | 07/01/2024       |                            | <b>M</b> <sup>(1)</sup> |        | 10,000 | A             | \$0.56                          | 90,360   | D                                 |                                       |
| Common Stock | 07/01/2024       |                            | <b>M</b> <sup>(1)</sup> |        | 1,250  | Α             | <b>\$0.6</b>                    | 91,610   | D                                 |                                       |
| Common Stock | 07/01/2024       |                            | <b>S</b> <sup>(1)</sup> |        | 10,000 | D             | <b>\$</b> 7.2389 <sup>(2)</sup> | 81,610   | D                                 |                                       |
| Common Stock | 07/01/2024       |                            | <b>S</b> <sup>(1)</sup> |        | 1,250  | D             | <b>\$</b> 7.2429 <sup>(3)</sup> | 80,360   | D                                 |                                       |
| Common Stock | 07/02/2024       |                            | <b>S</b> <sup>(4)</sup> |        | 1,176  | D             | \$6.9795                        | 79,184   | D                                 |                                       |
| Common Stock | 07/02/2024       |                            | <b>S</b> <sup>(4)</sup> |        | 1,006  | D             | \$6.9795                        | 78,178   | D                                 |                                       |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |        | 6. Date Exerc<br>Expiration Da<br>(Month/Day/1 | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|--------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)    | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Common<br>Stock                                     | <b>\$0.6</b>  | 07/01/2024                                 |   | <b>M</b> <sup>(1)</sup>      |   |     | 1,250  | (5)  | 07/22/2030         | Common<br>Stock   | 1,250                                  | \$0.00  | 1,250  | D  |  |
| Common<br>Stock                                     | \$0.56  | 07/01/2024                                 |   | <b>M</b> <sup>(1)</sup>      |   |     | 10,000 | (6)  | 10/23/2029         | Common<br>Stock   | 10,000                                 | \$0.00  | 110,000  | D  |  |

Explanation of Responses:

1. Transaction made pursuant to a 10b5-1 trading plan that was adopted by the Reporting Person on August 16, 2023.

2. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$7.08 to \$7.47 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$7.11 to \$7.48 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. Shares sold to satisfy the Reporting Person's tax liability arising as a result of the release of restricted stock units.

5. 25% of the total shares vested on July 23, 2021; thereafter, 1/48th of the total shares vest monthly for 3 years. beginning on August 23, 2021.

6. 25% of the total shares vested on August 26, 2020; thereafter, 1/48th of the total shares have vested monthly for 3 years. beginning on September 26, 2020.

Remarks:

## /s/ Paul Alpern

\*\* Signature of Reporting Person

07/03/2024 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.