SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hawkins Nicholas B.			2. Issuer Name and Ticker or Trading Symbol Arteris, Inc. [AIP]		tionship of Reporting Pers all applicable) Director	on(s) to Issuer 10% Owner				
(Last) C/O ARTERIS	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/01/2023	x	Officer (give title below) VP and Chief Financ	Other (specify below) rial Officer				
595 MILLICH DR., SUITE 200			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applica Line)						
(Street) CAMPBELL	СА	95008			Form filed by One Repo Form filed by More than Person	•				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication							
	Check this box to indicate that a transaction was made pursuant to a contract, instruction or write satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.									

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/01/2023		F ⁽¹⁾		401	D	\$7.18	335,536 ⁽²⁾	D	
Common Stock	09/06/2023		S ⁽³⁾		758	D	\$7.03	334,778 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported transaction involved the withholding of 401 shares of stock issuable upon the vesting of restricted stock units to pay taxes.

2. Includes 65,674 restricted stock units.

3. Transaction made pursuant to a 10b5-1 trading plan that was adopted by the Reporting Person on May 12, 2023

Remarks:

<u>/s/ Paul Alpern, as Attorney-</u> in-Fact for Nicholas B.

Hawkins

09/06/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).