# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

### OMB APPROVAL

OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

1. Name and Addre RAZA SAIY	ess of Reporting Pers	on*	2. Issuer Name <b>and</b> Ticker or Trading Symbol Arteris, Inc. [ AIP ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
				X	Director	10% Owner				
(Last) (First) (Middle) C/O ARTERIS, INC.			3. Date of Earliest Transaction (Month/Day/Year) 10/26/2021		Officer (give title below)	Other (specify below)				
595 MILLICH DR., SUITE 200			4 If Amondment, Data of Original Filed (Menth/Day(Mear)	C. India	lividual ar Jaint/Group Filing (Chaels Applicable					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> </ol>					
(Street)				X	rting Person					
CAMPBELL	CA	95008			Form filed by More than Person	One Reporting				
(City)	(State)	(Zip)								

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	10/26/2021		A		6,250 <sup>(1)</sup>	Α	\$ <mark>0</mark>	6,250 <sup>(2)</sup>	D			
Common Stock								716,667	Ι	By Saiyed Atiq Raza and Nandini Saraiya 2012 Revocable Trust dtd 11/26/2012		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number 6 of F						8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

#### Explanation of Responses:

1. Includes 6,250 restricted stock units ("RSUs"), each of which represents a contingent right to receive one share of the Issuer's common stock. The RSUs will vest in full on the earlier of (i) the first anniversary of the grant date and (ii) immediately before the Annual Meeting following the grant date, subject to the reporting person continuing to provide services to Issuer's Board through such vesting date. The RSUs have no expiration date.

2. Includes 6,250 restricted stock units.

/s/ Paul Alpern as Attorney-in-10/29/2021

fact for Atiq Raza

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.