FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Alpern Paul L						Arteris, Inc. [ AIP ]								eck all applic Directo	cable) or		o Owner	
(Last) (First) (Middle) C/O ARTERIS, INC.						3. Date of Earliest Transaction (Month/Day/Year) 01/02/2024								helow)	Officer (give title below)  VP and General Counsel		,	
900 E. HAMILTON AVE., SUITE 300						4. If Amendment, Date of Original Filed (Month/Day/Year)							Line	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CAMPBELL CA 95008														X Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City) (State) (Zip)						Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10												
		Tah	ulo I - N	lon-Der		Journe					isposed o							
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Yea		2A. Deemed Execution Date,		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a		(A) or	5. Amoun Securities Beneficial Owned Fo		6. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price	Reporte Transac (Instr. 3	tion(s)		(Instr. 4)	
Common Stock				01/02/2024					<b>S</b> <sup>(1)</sup>		1,181	D	\$5.693	2 39	,012	D		
Common Stock				01/02/2024					M <sup>(2)</sup>		1,250(3)	A	\$0.6	40,262		D		
Common Stock				01/02/2024					M <sup>(2)</sup>		10,000(4)	A	\$0.56	50	,262	D		
Common Stock				01/02/2024				S <sup>(2)</sup>		1,250	D	\$5.7134	49,012		D			
Common Stock				01/02/2024					S <sup>(2)</sup>		10,000	D	\$5.7059	39,012		D		
Common Stock				01/03/2024					S <sup>(2)</sup>		1,491	D	\$5.5063	37,	521 <sup>(8)</sup>	D		
			Table II								posed of, convertil			Owned				
1. Title of Derivative Security (Instr. 3)  2. Conversion Date Execution (Month/Day/Year) Price of Derivative Security  3. Transaction Date Execution (Month/Day/Year) Price of Derivative Security						vative urities uired or oosed O) (Instr.	Expira	e Exere ation D h/Day/		of Securit Underlyin	Security and 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	Owner Form: Direct or Indi (I) (Ins	D) Beneficial Ownershi ect (Instr. 4)			
					Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	or Number of Shares					

## Explanation of Responses:

\$0.6

\$0.56

Common

Common

Stock

- 1. Shares sold to satisfy the Reporting Person's tax liability arising as a result of the release of restricted stock units.
- 2. Transaction made pursuant to a 10b5-1 trading plan that was adopted by the Reporting Person on August 16, 2023.
- 3. 25% of the total shares vested on July 23, 2021; thereafter, 1/48th of the total shares vest monthly for 3 years. beginning on August 23, 2021.

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- 4. 25% of the total shares vested on August 26, 2020; thereafter, 1/48th of the total shares have vested monthly for 3 years, beginning on September 26, 2020.
- 5. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$5.58 to \$5.84 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote

(3)

(4)

1.250

10 000

07/22/2030

10/23/2029

- 6. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$5.58 to \$5.85 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote
- 7. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$5.41 to \$5.61 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnot
- 8. Includes 37,521 restricted stock units.

## Remarks:

/s/ Paul Alpern

01/04/2024

8,750

170,000

D

D

\*\* Signature of Reporting Person

1,250

10,000

Stock

Commo

Stock

\$0.00

\$0.00

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

01/02/2024

01/02/2024

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.