## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
_	Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL

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Saiyed Atiq Raza and Nandini

Saraiya 2012

Revocable Trust dtd 11/26/2012<sup>(3)</sup>

	0	r Section 30(h) of th	le inves	imeni	Company Aci	1 01 1940	)					
1. Name and Address of Reporting Person* RAZA SAIYED ATIO		Issuer Name <b>and</b> Arteris, Inc. [ A		r Trad	ing Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Midd		Date of Earliest Tra 0/05/2023	ansactio	on (Mo	onth/Day/Year)		X Director Officer (give below)	title C	0% Owner Other (specify elow)			
C/O ARTERIS, INC. 595 MILLICH DR., SUITE 200	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) CAMPBELL CA 9500	8							Form filed b Person	y More than On	e Reporting		
, (City) (State) (Zip)	F	Rule 10b5-1(	indicate 1	that a t	ransaction was	made pu	irsuant to a	contract, instruction o uction 10.	r written plan that	is intended to		
Table I -	Non-Derivativ	e Securities A	cquir	ed, C	Disposed o	of, or I	Benefici	ially Owned				
1. Title of Security (Instr. 3) Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock	10/05/2023		A		2,371 <sup>(1)</sup>	A	\$0.00	33,689(2)	D			

## Common Stock 809,777 I Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(,														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Fully vested restricted stock. The restricted stock represents retainer fees that the Reporting Person elected to receive in the form of shares of common stock in lieu of cash.

2. Includes 29,027 restricted stock units.

3. The shares are held by Saiyed Atiq Raza and Nandini Saraiya 2012 Revocable Trust for which the Reporting Person serves as trustee.

**Remarks:** 

<u>/s/ Paul Alpern, as Attorney-</u> <u>in-Fact for S. Atiq Raza</u>

10/06/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.